SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved: OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election,				
or Nomination (Nonth, Day, Year) 02/05/2007	Status Covered by Report Nor		Termination Date (If Appli- Filer Cable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to file this report and does so more than 30 days
Reporting	Last Name	First Name and Mid	ddle Initial	after the date the report is required to be
Individual's Name	Giuliani	Rudolph W		filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject
L ma a man	Title of Position	Department or Ago	ency (If Applicable)	to a \$200 fee.
Position for Which Filing	Candidate for President of the United States		8	Reporting Periods Incumbents: The reporting period is
Location of	Address (Number, Street, City, State, and ZIP Code)	ŀ	Telephone No. (Include Area Code)	the preceding calendar year except Part
Present Office (or forwarding address)	5 Times Square, New York, NY 10036		(212) 931-7301	II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of
Position(s) Held with the Federal	Title of Position(s) and Date(s) Held	·		Schedule D is not applicable.
Government During the Preceding 12 Months (If Not Same as Above)	None			Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends
Presidential Nominees Subject	Name of Congressional Committee Considering Nomination	Do You Intend to C	reate a Qualified Diversified Trust?	at the date of termination. Part II of Schedule D is not applicable.
to Senate Confirmation		Yes	ΠNo	
				Nominees, New Entrants and Candidates for President and
Certification	Signature of Reporting Individual	***************************************	Date (Month, Day, Year)	Vice President:
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	/ h. doly W. pivliani		May 14,7007	Schedule A-The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets
Other Review	Signature of Other Reviewer		Date (Month, Day, Year)	as of any date you choose that is within
(If desired by				31 days of the date of filing.
agency)	, S			Schedule BNot applicable.
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing O	Official	Date (Month, Day, Year)	Schedule C, Part I (Liabilities)-The reporting period is the preceding calendar
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).			=	year and the current calendar year up to any date you choose that is within 31 days of the date of filing.
	Signature		Date (Month, Day, Year)	Schedule C, Part II (Agreements or
Office of Government Ethics Use Only	- Company		Sate (Month, Say, Tear)	Arrangements)-Show any agreements or
ose only				arrangements as of the date of filing.
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sh	ieet)	1	Schedule D - The reporting period is the preceding two calendar years and
	(Check box if filing exte	nsion granted & indic	cate number of days)	the current calendar year up to the date of filing.
	9 n : n d SI XVN U	200		Agency Use Only
	COUNSEL COUNSEL	Por if comments ass	continued on the reverse side)	OGE Use Only
r e	HUISSINA		continued on the reverse side;	
	בטנאאר ברבכווטא	4		
Supersedes Prior Editions, Which Can	BECEIVED 1991	278-112		NSN 7540-01-070-8444

M. F. 17.50	ing Individual's Name Rudolph W											5	SC	HI	ED	H	I.F	. 4		141											P	age Number	
(in 2000 to the control of the contr	Assets and Income Valuation of Assets at close of reporting period Income: type and amount. If "None (or less the checked, no other entry is peeded in Block to the checked, no other entry is peeded in Block."																2 of	18															
	Assets and Income	T	a	V: t cl	alu ose	ati of	ior rer	ı o i	f As tinş	sse g pe	ets eric	od		Γ			I:	nco :hec	om cked	e: t	:ур ю о	e ar	nd a	imo	oun y is	it. I	f "N	Non ed i	ie (e in B	or I	less :k (s than \$201 I for that it	l)" is tem.
	BLOCK A			ns 11		BI	LOC	КВ		.00 -00											10			BL	.OCK	C C							
production value excipage perior in income with such For yours amount of than from report the income of the production o		et pe and an \$1,001)	\$15,000	1	1 7 1	1-1	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	1-	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000			\$100,001 - \$1,000,000	Π	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
None	Central Airlines Common		69	.63	69	63	65	S	0	₩.	₩	69	0	Щ	i ii	0	×	Ä	F	Ü	z	65	1000	\$	S	.€	8	\$1	Ó	\$	ó		
-			1-	_ x	-	 - 	-				+-	 -		-	 -	-	_	-					×	_	-	-	-					Law Partnership	
Examples	Kempstone Equity Fund IRA: Heartland 500 Index Fund		##		-	x	_	×	<u>-</u> -		<u> </u>	 -	-	x	-			_						x				-		-		Income \$130,000	
1 Giulian	ni & Company LLC (1)										×										- 12				×							Total: \$4,108,328	
2 Bracew	well & Giuliani LLP (2)																															Law Partnership Income: \$1,200,901	
3 Washin	ngton Speakers Bureau (3)																															Speaker Fees: \$11,390,000	
	Worldwide Services - on/Miramax Books (4)																															Book Royalties: \$146,092	
SPE Co	orporate Services (5)																							1		1	1		1			Theatrical Royalty: \$496	
200 Sept. 100 Se	i Partners 401K r - Freedom 2010 - Blended Fund			×										×							×											\$450	
* This c by th	category applies only if the asset/incom ne filer with the spouse or dependent ch	ne is sol nildren,	lely t	that rk th	of the	he fi ther	ler': hig	s sp	ouse cate	or gori	depo ies o	end f va	ent c	chilc as a	dren ippr	. If opri	the ate.	asse	t/in	com	e is	eithe	er th	at o	of the	e file	er o	r joi	ntly	hel	d		

Reporting Individual's Nam Giuliani, Rudolph W	ae									S	CF		DU								ue	d										Pa	ge Number	
		(Use only if needed) Valuation of Assets at close of reporting period Income: type and amount. If "None (or less the checked, no other entry is needed in Block to the checked, no other entry is needed in Block to the checked.																3 of	18															
Assets and	d Income		а	V it cl	alu lose	at of	io: re	n o : por	f A tin	sse ig p	ets	od						In	ı co ıecl	m kec	e: t i, n	ур 0 0	e ai	nd :	amo	our y is	nt. I s ne	lf "l	Nor ed	ie (or I	ess k C	than \$20 for that	01)" is item.
BLOCE	.K A						BLC	OCK I	В																BI	.OCk	КC							
														1				7	Гуг	pe							A	m	our	at				
		None (or less than \$1,001)	\$15,000	1 1	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	1 ~	Excepted Investment Fund	Excepted Investment rund	Ouglified Trust	Quaimied 1 rust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Bessemer Trust:														T			1																n'	
Bessemer Sweep Prog	gram (J) (6)		×											T	+	1	+	1	1;	×				×										
U.S. Treasury Note - 5	5/31/07 (J)						×						-		+	+			-	×	1		1	×		1								
Old Westbury Municipa	al Bond Fund (J)									×				×	(+	1	+	1	1				1		×					1		
Old Westbury Large Ca	ap Equity (J)				T					×				×			†		+		+			1	1	×	1			+		+		
Old Westbury Mid Cap	Equity Fund (J)						1	×					H	×	+		+		+	+	+				×		+		1		1	+		
Old Westbury Global S	small Cap Fund (J)				1	×	1	1					H	×			+		+	+	+		1	×	1	1	+				1	+		
Old Westbury Internation	onal Fund (J)	1					1	×	1				Н	×			+	+		+			+			×	2.5	+	+		+	-		
Old Westbury Real Ret	turn Fund (J)			1		7;	×					H		×		+	+	+	+	+	+			×		+		+	1	1	+	+		
* This category applies on by the filer with the spo	nly if the asset/income	e is sole ildren,	ely t mar	hat o	of th	ne fi her	ler's	s spc	ouse cate	e or	dep	end of va	ent d	chil as :	I Idre app	n. I	f th	e as	set/	ince	ome	is e	eithe	r th	at o	f the	e file	er oi	r joi	ntly	held			

,	Reporting Individual's Name								;	SC						A o				ue	d										Pa	ge Number 4 of	18	
	Assets and Income			V at cl	'alu lose	1at	io: rej	a o i	f As	sse g pe	ts eric	od					In cl	n c c hec	om :kec	e: t 1, n	ype o o	e ar	nd a	imo	oun y is	t. I	f "l ede	Non ed i	ie (in F	or l	ess k C	than \$20 for that)1)" item	is
	BLOCK A		_			_	BLO	OCK E	В		_	-	_	L	_		L							BL	OCK	C							2-22-7	
																		Ту	pe						_	A	mc	our	ıt		_	T		
	i) (4	None (or less than \$1,001)				\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000		\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	(Mo.	Date o., Day, Yr.) nly if noraria
1	Bessemer Sweep Program IRA (6)			×															×			- 6		×										
2	Federal Home Loan Bank IRA						×											П	×		×											37.		
3	U.S. Treasury Note IRA - 5/31/07			×														2	×		×	€3												22.034
4	Old Westbury Fixed Inc. Fund IRA					×								×							×													
5	Old Westbury Large Cap Equity IRA				×									×							×													
5	Old Westbury Mid Cap Equity Fund IRA			×										×							×													
7	Old Westbury Global Small Cap Fund IRA		×											×							×											F		
3	Old Westbury International IRA			×										×							×													
,	Old Westbury Real Return Fund IRA		×											×							×													
ä	* This category applies only if the asset/income by the filer with the spouse or dependent chi	e is so ildrer	olely 1, m	that ark t	t of t	the f	filer' r hiş	's sp gher	ouse cate	e or egor	dep	ende	ent (lue,	chilo as a	dren ippr	ı. If opri	the ate.	asse	t/in	com	e is	eith	er th	iat c	of th	e fil	er o	r joi	intly	/ hel	d			

	Reporting Individual's Name									S	CH	HE)	DI Use								ue	d										Pa	ige Number 5 of	18	
	Assets and Income	T	- 2	V at c	/al	uat se of	t io f re	n o por	f A tin	.sse	ets eri	od						In ch	ıco iec) m kec	e: t i, n	ypo o o	e a	nd :	am ntr	our y is	nt. I	lf "l	Noi ed	ne (in I	or l	ess k C	than \$20 for that)1)" i item.	ís
_	BLOCK A						BLC	OCK I	В							_					15%				BI	LOCI	K C		,	ili ili					Et.
																			Гуј	pe			_		_	_	A	m	ou	nt					
		None (or less than \$1,001)	- C	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	1 =	Excepted Investment Fund	Everyted historinent rund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	\sim	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,000 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	(Mo., Yi Onl	oate ., Day, (r.) aly if oraria
1	Bessemer Sweep Program (6) (7)		×											T						×						×									
2	Federal Home Loan Bk Short Term Note (7)					×								1						×		×													•
3	Federal Home Loan Bank Short Term Note (7)						×											1	1	×		×													-0, ,, -
1	U.S. Treasury Note - 5/31/07 (7)				×									T	T		1	T		×		×							-						
5	Old Westbury Fixed Inc. Fund (7)						×							×			1		1			×													
5	Old Westbury Large Cap Equity Fund (7)				П	×								×			1					×									1				
	Old Westbury Mid Cap Equity Fund (7)			×		l±								×			+		1	+	1	×		1											
	Old Westbury G.L. Small Cap Fund (7)		×											×			1		1		1	×										1			
	Old Westbury International Fund (7)	\prod			×									×			1					×								1	1	+			-
14	* This category applies only if the asset/income by the filer with the spouse or dependent child	is sol dren	iely , ma	that irk t	t of r	the f	filer' r hig	s sp her	ouse cate	e or egor	dep	oend of va	lent alue	chil	ldre apr	en. prop	If th	ne as	sset	/inc	ome	e is e	eith	er th	at o	of th	e fil	er o	r joi	ntly	held	1			

,	Reporting Individual's Name									SC			DU se							ue	d	T1									Pa	ige Númber 6 of	18
	Assets and Income		2	V at cl	/alu :lose	uat e of	ior	n o f	f As	sse g pe	ts erio	od		Γ			I:	nco	om cke	e: t	ур	e ar	nd a	ame	our y is	it. I	lf "l eed	Nor ed i	ie (or l	less :k C	than \$20 for that)1)" is item.
	BLOCK A						BLO	OCK I	В		_			L										BL	.OCI	КC							11
																	L	Ту	pe			_	_			Α	me	our	nt	_	_		
		None (or less than \$1.001)		\$15,001 - \$50,000		\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000		\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Old Westbury Real Return Fund (7)			×										×							×											e.	
2	Bessemer Sweep Program IRA (S) (6)			×															×		×	75											
3	Bristol-Meyers Squibb Co. IRA (S)		×									П	П				×				×												
4	Zimmer Holdings Inc. IRA (S)		×														×		-		×		8										
5	Bessemer Sweep Program (S) (6)		×																×			×											
6	U.S. Treasury Note - 5/31/07 (S)				×														×		×											4	
7	Old Westbury Municipal Bond Fund (S)						×					\prod		×		500					×										1		
8	Bristol-Meyers Squibb Co. (S)		×										•				×				×												_
9	Zimmer Holdings Inc. (S)	×									60						×				×									-			
,	* This category applies only if the asset/inco by the filer with the spouse or dependent	ome is so childrer	olely n, ma	that ark t	t of t	the f	iler' hig	s sp	ouse cate	e or egori	depo les o	ende f va	ent c	hild as a	iren ppro	. If	the ate.	asse	t/in	com	e is	eith	er ti	nat c	of th	e fil	ler o	or joi	intly	/ hel	d		

,	Reporting Individual's Name									SC	CH						100000			ue	d									(i	Pa	ge Number	40
		(Use only if needed) Valuation of Assets Income: type and amount. If "None (or															¥2		7 01	16													
	Assets and Income		а	V it c	alı	uat e of	tior f rep	n o i	f As tinş	sse g pe	ts erio	d					II C	ncc hec) m kec	e: t 1, n	ype o o	e ar	nd a	amo	oun y is	it. I	f "l	Non ed i	ie (e in B	or l	ess k C	than \$20 for that i	01)" is item.
	BLOCK A						BLC	CK I	В						-									BL	.OCK	C						2	
												6						Ty	pe	8						A	m	our	ıt				
		None (or less than \$1,001)		\$15,001 - \$50,000		\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Old Westbury Mid-Cap Equity Fund (S)					×								×							×												
2	Old Westbury Large Cap Equity Fund (S)				П		×							×						*	×												
3	Old Westbury G.L. Small Cap Fund (S)			×										×							×												
4	Old Westbury International Fund (S)	74°				×								×							×												
5	Old Westbury Real Return Fund (S)				×									×							×											- B	
5	Bessemer Sweep Program (S) (6)				×	•							32						×			×	1			1				×	1		
7	Federal Home Loan Bank Short Term Note (S)						×									1			×		×									1			
\$	U.S. Treasury Note - 5/31/07 (S)					×													×		×				1	1				d			
	Old Westbury Municipal Bond Fund (S)						×				1			×		7		1			×	+	1	7	1	1	\forall		+		+		
*	* This category applies only if the asset/incomby the filer with the spouse or dependent of	me is sol children	lely , ma	that irk t	t of t	the f	filer' r hig	s sp	ouse cate	or or	depe es of	ende f val	ent c	hild as a	iren ppro	. If i	the a	asset	t/in	com	e is	eith	er th	iat c	of th	e file	er o	r joi	ntly	held	d		

F	Reporting Individual's Name									S C		ED (Us								ueo	d										Pag	ge Number 8 of	18
	Assets and Income	T	а	V it cl	alu lose	ati of	ion rep	of ort	As	sei g pe	ts rio	d	1			(4)	In ch	ıco iecl	m e ked	e: t	ype o o	ar	ıd a r er	mo	un / is	t. I ne	f "N ede	Non ed i	e (d n B	or l	ess k C	than \$20 for that i	1)" is item.
	BLOCK A		_	_	_		BLO	CK B			_		\dashv		_	_		-		-				BLO	OCK	_						_	
		None (or less than \$1 001)	37	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	69	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		Rent and Royalties	Interest		None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000		\$50,001 - \$100,000	\$100,001 - \$1,000,000		\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Old Westbury Large Cap Equity Fund (S)					×								×							×												ã.
2	Old Westbury Mid Cap Equity Fund (S)					×								×							×												
3	Old Westbury G.L. Small Cap Fund (S)			×										×							×												
4	Old Westbury International Fund (S)					×								×							×	3											
5	Old Westbury Real Return Fund (S)				×									×					9		×												
6	Merrill Lynch (8):																																
7	ML Bank Deposit (S) (6)	×	:																×		×												
8	Bristol-Meyers Squibb (S)	×	:														×					×											
9	Zimmer Holdings, Inc. (S)	×												11			×				×												
	* This category applies only if the asset/inco by the filer with the spouse or dependent	ome is s childre	olely n, m	/ tha	it of the	the i	filer' r hig	's sp gher	ous	e or egor	dep ies c	ende of va	ent c lue,	child as a	iren ippr	. If	the :	asse	t/in	com	e is	eith	er ti	nat c	of th	ie fi	ler c	or jo	intly	/ hel	d		

	Reporting Individual's Name Siuliani, Rudolph W								9	SC	H		U se c							ıe	d										Pa	ge Number 9 of	18
	Assets and Income	T		V	alu	ati	ion	of	As	se	ts						Iı	ıco	me	e: t	уре	ar	ıd a	ımo	oun	t. I	f "N	Vor	ne (i	or l	ess	than \$20	01)" is
			а	t cl	ose	of	rep	ort	ing	pe	rio	1					cl	iec.	ked	l, n	0 0	the	r ei	ntry	y is	ne	ede	ed i	n B	loc	k C	for that	itém.
	BLOCK A	L	_	10 0			BLO	CK B			_											0-1-5-		BL	OCK	С							
		None (or less than \$1,001)		\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust		Rent and Royalties A		Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	.\$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000		\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Money Markets IRA (S) (6)	×																	×			×											
2	Bristol-Meyers Squibb IRA (S)	×															×					×											
3	Zimmer Holdings, Inc. IRA (S)	×															×				×												(A)
4	Prudential Financial Stock		×																×		×												
5	Changing Our World, Inc. (S) (9) New York, NY								- 10																							Salary	
6	Rudolph W. Giuliani (S) New York, NY																													(48)		Salary	
7	Schwab (S) (10) Defined Benefit Plan				×																×							44					
8	JP Morgan Institutional Tax Free Money Management (11)									×				×														×					
9	JP Morgan Private Bank Checking Account (6)					×													×		×												NX-
	* This category applies only if the asset/income by the filer with the spouse or dependent chil	is so dren	olely	tha irk t	t of he c	the f	iler' hig	s sp	ouse	e or	depe	ende f val	ent c	chile as a	iren ppr	. If opri	the ate.	asse	t/in	com	e is	eith	er tl	nat (of th	ie fil	ler o	ог јо	intly	/ hel	d		

	Reporting Individual's Name Giuliani, Rudolph W									sc	H.			JL] onl			ATT A STREET			ue	d										Pa	nge Number	18
	Assets and Income		а		/alu :lose												II C	nco hec	om :kec	e: t 1, n	ype o o	e ar the	nd a	imo	our y is	it. I s ne	f "l	Non ed i	ie (or l Bloc	ess k C	than \$20 for that)1)" is item.
L	BLOCK A		1	1	_		BLC	OCK E	В		_	1	_	\perp	-		L	700		- 51				BL	OCK	K C							
																		Ту	pe		_					A	m	our	ıt.	_			
		None (or less than \$1,001)		\$15,001 - \$50,000	1 1	-		\$500,001 - \$1,000,000	10	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	-	15	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	-	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Prudential Financial Money Market (6) (12)			×										×									×										
2	Vanguard Group Growth and Income (12)			×										×					a.			×											
3	Vanguard Group Total Stock Market (12)		×			П		П					3	×	T							×											
4	Merrill Lynch - Blackrock Balanced Cap Fund (13)		×					•						×							×									П			
5	Merrill Lynch - Blackrock National Municipal Bond Fund (13)		×											×							×												
6	Merrill Lynch Money Market Account (6) (13)		×																×		×												
7	Citibank - Checking (6)						×												×					×									
8	Citibank - Money Management (6)			П	П		×												×							×							
9	Citibank - Money Management (6)									×									×									×					
	* This category applies only if the asset/inco by the filer with the spouse or dependent of	me is so childrer	olely n, ma	tha ark i	t of the c	the f	filer r hij	's sp gher	ous	e or egor	dep ies c	end of va	ent ilue,	chile	drer appr	ı. If	the	asse	t/in	com	e is	eith	er tl	iat c	of th	ne fil	ler c	or jo	intly	/ hel	d		

	Reporting Individual's Name Giuliani, Rudolph W								0.000	SC				LI onl						ue	d —										Pa	ge Number 11 of	18
	Assets and Income		a	V t cl	alı	at of	io: rej	n o	f A :	sse g pe	ts erio	d					Ir cł	nec	o m o ked	e: t i, n	ype o o	e ar the	nd a r ei	imo	oun / is	t. I	f "N ede	lon ed i	e (e	or l	ess k C	than \$20 for that	01)" is item.
L	BLOCK A	\perp	_				BLO	CK I	В		_	_			_						_			BL	OCK	c							,
		None (or less than \$1,001)		\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	ō	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	cepted Investment Fund	Excepted Trust	Qualified Trust	*	Rent and Royalties	Interest		None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000		\$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Citibank - Money Management (6)	ž	\$1	\$1	\$5	\$1	\$2	\$5	ó	×	\$5	\$2	ó	Ex	E	ð	Ϊ́Ο	Re	×	Ca	N	\$2	\$1	\$2	\$5	\$1	\$5	×	ò	\$1	ò		
2	Citibank - Money Management (6)	T						×											×							×							
3	Citibank - Checking (S) (6)		×																×			×											
4	Citibank - Money Management (S) (6)	×																	×							×							
5	Citibank - Checking (J) (6)				×														×		×												
6	Citibank - Checking (J) (6)									×									×				1.70	×									
7	Omnicom (S) (14)				×												×					×											
8	Mutual of America Mid-Term Bond Fund (S) (15)				×												×							×								İ	
9	Personal Loan to Kenneth Caruso New York, NY	Γ					×												×		×			1									
	* This category applies only if the asset/income by the filer with the spouse or dependent chil	is so drer	olely n, ma	tha ark t	t of the c	the :	filer r hiş	's sp gher	ous	e or egor	dep ies o	end f va	ent (lue,	child as a	iren ppr	. If	the a	asse	t/in	com	e is	eith	er ti	iat o	of th	e fil	er o	r joi	ntly	hel	d		

	Reporting Individual's Name Giuliani, Rudolph W								21	SC	H					A (ue	d										Pag	ge Number 12 of	18
	Assets and Income	Valuation of Assets at close of reporting period BLOCK B Income: type and amount. If "None (or le checked, no other entry is needed in Block BLOCK C															ess k C	than \$20 for that)1)" is item.														
	BLOCK A	L			_		BLO	CK I	3					L	_							a'		BL	OCK	C						(4)	12.
																	L	Ту	pe	_	L	_	_			A	mc	uı	ıt		_		
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	-	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Merrill Lynch Basic Retirement																																
2	Retirement Reserves CI I (6)		×														×				×							_					
3	Blackrock Balanced Cap Fund Inc. Instl			×										×									×										
4	Merrill Lynch Basic Retirement																																12
5	Retirement Reserves CI I (6)		×	11.													×				×												
6	Blackrock Balanced Cap Fund Inc Insti			×										×									×										
7	Investment Property (J) Hazelton, PA					×		16													×											- 1	
8	Vanguard Wellington IRA Fund							×						×												×			3				
9	New York City 457 Deferred Compensation Plan - Socially Responsible Mutual Funds (16)				×									×																			
	* This category applies only if the asset/income by the filer with the spouse or dependent child	is so dren	lely , ma	tha irk t	t of the c	the othe	filer r hiş	's sp	ous	e or	dep	end of va	ent lue,	child	dren	ı. If opri	the	ass	et/ir	соп	ne is	eith	ier t	hat	of th	ie fil	ler c	or jo	intly	/ hel	d		

(Reporting Individual's Name Giuliani, Rudolph W	SCHEDULE A continued (Use only if needed)									Pa	ge Number 13 of	18																				
	Assets and Income	T		V	alı	iat	io	101	f As	sse	ts	d																				than \$20	
	BLOCK A	at close of reporting period checked, no other entry is needed in Block BLOCK B BLOCK C										кC	for that	item.																			
																		Ту	pe							Α	m	ou	1t				
		None (or less than \$1,001)	1 - \$15,000	\$15,001 - \$50,000	4	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,0001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Merrill Lynch IRA:				٠																												
2	ML Bank USA RASP (6)		×														×				×												
3	Retirement Reserves CI I (6)	×				35											×				×												
4	AIM Charter Fund Class B			×										×		İ					×												
5	Alliancebernstein LG Cap Growth Fd Cl B		×											×							×												
6	Alliancebernstein Growth and Income Fd CI B			×		(1								×							×												
7	Leeds Equity Associates IV LLC (17)																															Stipend: \$150,000	
8	Connecticut General Life Insurance Company Universal Life Policy (18)	T		×													1				×												
9	Connecticut General Life Insurance Company Universal Life Policy (19)			×									1			.:	1	1100			×						84						
	* This category applies only if the asset/income by the filer with the spouse or dependent chil	is so	olely	tha irk t	t of the o	the f	iler hig	's sp	ouse	e or	depe	ende f val	ent c	hild as a	iren.	lf opri:	the a	asse	t/in	com	e is	eith	er th	nat o	of th	e fil	ler o	r jo	intly	hel	d		

Reporting Individual's Name Giuliani, Rudolph W	SCHEDULE A continued (Use only if needed)	Page Number								
	(ose only if fleeded)	14 of 18								
Assets and Income	nd Income Valuation of Assets at close of reporting period Income: type and amount. If "None (or le checked, no other entry is needed in Block									
BLOCK A	BLOCK B BLO	OCK C								
	Type	Amount								
æ	None (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$100,000 \$20,001 - \$100,000 \$250,001 - \$250,000 \$250,001 - \$1,000,000 \$250,001 - \$1,000,000 \$250,001 - \$25,000,000 \$250,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 Excepted Investment Fund Excepted Investment Fund Excepted Trust Qualified Trust Dividends Rent and Royalties Interest Capital Gains None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 \$1,001 - \$2,500	Octher Income (Specify Type & Actual Amount) Octool \$ 100,000 \$ 000,000,000,000,000,000,000,000								
1 Schwab (20) Defined Benefit Plan	×									
2										
3										
4										
5										
6										
7										
8										
9										
* This category applies only if the asset/inco by the filer with the spouse or dependent of	e is solely that of the filer's spouse or dependent children. If the asset/income is either that o ldren, mark the other higher categories of value, as appropriate.	of the filer or jointly held								

SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

SCHEDULE C SCHEDULE C SCHEDULE C STATE S	0.000	rting Individual's Name ani, Rudolph W	C	CHED	III E C	•		Page Number									
Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude Creditors (Name and Address)	-	, 1.0305, 11	3	Спер	OLE (<i>-</i>							u,	1	15 of	18	
during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude Terditors (Name and Address) Type of Liability Type of Liabil	Rep	ort liabilities over \$10,000 owed	unless it is rented out; loans secured by											han far			
Examples Stratt District Stank, Washington, DC Promissory note: 1991 1999 10% on demand	duri you Che	ng the reporting period by you, spouse, or dependent children. k the highest amount owed	or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge				.000,000,	- 000								,000,000,	,000,000
Examples Stratt District Stank, Washington, DC Promissory note: 1991 1999 10% on demand		Creditors (Name and Address)	Type of Liability				\$10	\$15	\$50	\$10	\$25	\$50	Ove \$1,0	\$1,0	\$5,0	\$25	Ove \$50
*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer or a joint liability of the filer *This category applies only if the liability is that of the filer or a joint liability of the filer *This category applies only if the sile of the filer or a joint liability of the filer *This category applies only if the sile of the filer or a joint liability of the filer *This category applies only if the sile of the filer or a joint liability of the filer *This category applies only if the filer or a joint liability of the filer *This category applies on a joint liability of the filer *This category applies only if the sile of the filer of a joint liability of the filer *This category applies only if the selection of a joint liability of the filer *This category applies only if the selection of a joint liability of the filer *This category applies only if the selection of a joint liability of the filer *This category app		les —————————				+		_=	_x_		x						
*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. *Part II: Agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves *Status and Terms of any Agreement or Arrangement Status and Terms of any Agreement or Arrangement Parties Date *Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Doc Jones & Smith, Hometown, State 7/85 *Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21) Biney Worldwide Services, Hyperion/Miramax Books, New York 7/02 Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Partnership Agreement continues to exist (1) (22) Giuliani & Company, LLC, New York, NY 1/02 Partnership Agreement continues to exist (2) (23) Fracewell & Giuliani, Austin, TX 4/05 **Agreement continues to exist (2) (23) **Agreement continues to exist (2) (24) **Agreement continues to exist (2) (26) **Agre	i																
*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies only if the liability is shat of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies only if the liability is that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies on the filer's spouse or dependent children. If the liability is that of the filer's spouse or dependent children. If the liability is that of the filer's spouse or dependent children. If the liability is that of the filer's spouse or dependent children. If the liability is that of the filer's spouse or dependent children. If the liability is that of the filer's spouse or dependent children. If the liability is that of the filer's spouse or dependent children. If the liability is that of the filer's spouse or dependent	2																
*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer *This category applies only if the liability is solely that of the filer's spouse or dependent children, mark the other higher categories, as appropriate. *Part II: Agreements or Arrangements Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement Status and Terms of any Agreement or Arrangement Parties Date Example Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/20. Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21) Giuliani Partners, New York, NY 01/02 Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Disney Worldwide Services, Hyperion/Miramax Books, New York 7/02 Partnership Agreement continues to exist (1) (22) Giuliani & Company, LLC, New York, NY 1/02 Partnership Agreement continues to exist (2) (23) Bracewell & Giuliani, Austin, TX 4/05 4/05 Formership Agreement Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94	3																
*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. Part II: Agreements or Arrangements Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share [Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21) Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Partnership Agreement continues to exist (1) (22) Partnership Agreement continues to exist (2) (23) Partnership Agreement continues to exist (2) (23) Bracewell & Giuliani, Austin, TX 405 A57 Deferred Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94	4	,							350								
Part II: Agreements or Arrangements Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement Status and Terms of any Agreement or Arrangement Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Doe Jones & Smith, Hometown, State 7/85 Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21) Giuliani Partners, New York, NY Disney Worldwide Services, Hyperion/Miramax Books, New York Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Partnership Agreement continues to exist (1) (22) Partnership Agreement continues to exist (2) (23) Fartnership Agreement Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94	5														Ti i		
Part II: Agreements or Arrangements Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement Status and Terms of any Agreement or Arrangement Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Doe Jones & Smith, Hometown, State 7/85 Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21) Giuliani Partners, New York, NY Disney Worldwide Services, Hyperion/Miramax Books, New York Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Partnership Agreement continues to exist (1) (22) Partnership Agreement continues to exist (2) (23) Fartnership Agreement Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94	*Th wit	s category applies only if the liability is : n the spouse or dependent children, mar	solely that of the filer's spouse or dependent children the other higher categories, as appropriate	en. If the li	ability is th	at of the file	er or a	joint	liabili	ty of t	he file	r					
Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves Status and Terms of any Agreement or Arrangement Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Doe Jones & Smith, Hometown, State 7/85 Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21) Giuliani Partners, New York, NY 01/02 Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Partnership Agreement continues to exist (1) (22) Giuliani & Company, LLC, New York, NY 1/02 Partnership Agreement continues to exist (2) (23) Bracewell & Giuliani, Austin, TX 4/05 457 Deferred Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94																	
Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Doe Jones & Smith, Hometown, State 7/85 Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21) Giuliani Partners, New York, NY Disney Worldwide Services, Hyperion/Miramax Books, New York Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Partnership Agreement continues to exist (1) (22) Giuliani & Company, LLC, New York, NY Partnership Agreement continues to exist (2) (23) Bracewell & Giuliani, Austin, TX 4/05 Partnership Agreement Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94	Repo	rt your agreements or arrangement oyee benefit plan (e.g. pension, 401	s for: (1) continuing participation in an	of absen	ce; and (4 egotiation	4) future e is for any c	mploy of the	ymen se ari	t. See ange	instr ment	uctio s or b	ns re enefi	gardi ts.	ng the			
Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share Doe Jones & Smith, Hometown, State 7/85 Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21) Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Partnership Agreement continues to exist (1) (22) Partnership Agreement continues to exist (2) (23) Partnership Agreement continues to exist (2) (23) Bracewell & Giuliani, Austin, TX 4/05 Partnership Agreement Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94		Status and Te	erms of any Agreement or Arrangement							Partie	s					T D	ata
Pursuant to book contract, filer will continue to receive royalties on book sales. (4) Disney Worldwide Services, Hyperion/Miramax Books, New York Partnership Agreement continues to exist (1) (22) Giuliani & Company, LLC, New York, NY Partnership Agreement continues to exist (2) (23) Bracewell & Giuliani, Austin, TX 4/05 457 Deferred Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94		calculated on service performed thro	ough 1700.	rtnership sha	re	Doe Jones &	& Smith	, Hom	etown,	State	0//						
Partnership Agreement continues to exist (1) (22) Giuliani & Company, LLC, New York, NY 1/02 Partnership Agreement continues to exist (2) (23) Bracewell & Giuliani, Austin, TX 4/05 457 Deferred Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94			i f			Giuliani Pa	rtners,	New '	York, N	٧Y	mars-		2000			01/	02
Partnership Agreement continues to exist (2) (23) Bracewell & Giuliani, Austin, TX 4/05 457 Deferred Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94						Disney Wo	rldwide	Serv	ices, F	lyperio	on/Mira	amax l	Books	, New	York	7/0	2
4/05 457 Deferred Compensation Socially Responsible Fund (no contributions allowed post-12/01) City of New York Deferred Compensation Plan, New York, NY 9/94						Giuliani & 0	Compa	ny, LL	.C, Ne	w Yor	k, NY					1/0:	2
Only of New York Deferred Compensation Plan, New York, NY 9/94			25			Bracewell & Giuliani, Austin, TX									4/0:	5	
Pursuant to standard theatrical contract, royalties will be paid for repeat showings of performances. (Various dates) SPE Corporation, Culver City, CA (Paying Agent)	45	Deferred Compensation Socially Respon	sible Fund (no contributions allowed post-12/01)			City of New	York	Deferr	ed Co	mpens	ation	Plan, I	New Y	ork, N	Υ	9/9	4
	Pu	suant to standard theatrical contract, roya	Ities will be paid for repeat showings of performance	s. (Various	dates)	SPE Corpo	ration,	Culve	er City,	CA (F	aying	Agent	:)				

SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Reporting Individual's Name	S	CHED	ULE C	3							Page	Numb 1	er 6 of	18	
Part I: Liabilities Report liabilities over \$10,000 owed	None >	3			Category of Amount o						on Value (a)				
to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclud	automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date	Interest	Term if	\$10,001 -	\$50,000	\$50,001 -	- 1	\$250,001 -	\$500,001 -	0.000	\$1,000,001-		\$25,000,001 - \$50,000,000	Over \$50,000,000
Creditors (Name and Address)	Type of Liability	Incurred	Rate	applicable	SI	\$5	\$31	\$10	\$2.	\$5(\$1,	\$1,	\$5,	\$2.	\$5(
Examples First District Bank, Washington, DC John Jones, 123 JSt., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991	8%	25 yrs. on demand			_x_	_	x				_		
2	E)														
3															*
4															
5										-					
*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.															
Part II: Agreements				, , , , , , ,		-									
Report your agreements or arrangement employee benefit plan (e.g. pension.	ents for: (1) continuing participation in an e01k, deferred compensation); (2) continuate (including severance payments); (3) leaves	of absen	ce; and (4) future e as for any o	mplo of the	ymen se ari	t. See	instr ments	uctio s or b	ns re enefi	gardi: ts.	ng th		ort- None	
Status and	Terms of any Agreement or Arrangement							Parties	s					D	ate
Example Pursuant to partnership agreeme calculated on service performed	nt, will receive lump sum payment of capital account & pa through 1/00.	rtnership sh	ire	Doe Jones	& Smith	, Hom	etown,	State						7/	85
1 Chairman of the Board (17)				Leeds Equity Fund IV, Board of Advisors, New York, NY								06/	02		
Entitlement to Health Insurance Coverage	from City of New York (24)			City of New York								5/0	6		
3												İ			
4															
5	+	*													
6								d							

Re	porting Individual's Name				Page Number					
Te A trees	iuliani, Rudolph W	:	SCHEDULE D		17 of	F 18				
\vdash					17 01	10				
	art I: Positions Held			a a a a a a a a a a a a a a a a a a a						
Re sa	eport any positions held during the ap ted or not. Positions include but are r	pplicable reporting period, whether	er compen- director social fraternal or political	l institution. Exclude positions l entities and those solely of an	with religious	łe.				
tru	ustee, general partner, proprietor, reply corporation, firm, partnership, or o	presentative, employee, or consult	tant of nature.	endices and those solely of an	5	lone 🗖				
an	From (Mo., Yr.)									
Fire	Organization (Name Nat'l Assn. of Rock Collectors, NY, NY	and it the state of the state o	Type of Organization Non-profit education	Position Held President	6/92	Present				
	Doe Jones & Smith, Hometown, State		Law firm	Partner	7/85	1/00				
1	Giuliani & Company LLC, New York, NY (1	1) (25)	Holding Company	Chairman	01/2002	Present				
2	Giuliani Partners LLC, New York, NY (1) (2	25)	Consulting Firm	Chairman and CEO	01/2002	Present				
3	Bracewell & Giuliani LLP, New York, NY		Law Firm	Partner	04/2005	Present				
4	Leeds Equity Fund IV, Board of Advisors, N	New York, NY	Investment Firm Advisory Board	Chairman (17)	06/2002	Present				
\sqcup	Ronald Reagan Presidential Library Found	dation, Simi Valley, CA (on leave)	Not-for-profit Presidential Library	Board Member	04/2003	04/2007				
6	Rudolph W. Giuliani Center For Urban Lead	dership, New York, NY	Non-profit Library/Education	Board Member	01/2002	Present				
Re bu the	Part II: Compensation in Excess of \$5,000 Paid by One Source Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate. Presidential or Presidential or Presidential Candidate.									
	rporation, firm, partnership, or other Source (Name and				No	one				
	Doe Jones & Smith, Hometown, State	a Address)	Legal services Brie	ef Description of Duties	-					
Exa	Metro University (client of Doe Jones & Si	Smith), Moneytown, State	Legal services in connection with university constru	uction						
1										
2				, 17		-				
3				· · · · · · · · · · · · · · · · · · ·						
4	(4)				11					
5										
6				#						

Reporting Individual's Name		CCHEDIII E D		Page Number						
Giuliani, Rudolph W		SCHEDULE D		18 of	f 18					
Part I: Positions Held Report any positions held during the ap sated or not. Positions include but are n trustee, general partner, proprietor, rep any corporation, firm, partnership, or o	pplicable reporting period, wheth not limited to those of an officer, presentative, employee, or consul	ner compen- director, organization or education social, fraternal, or polit ltant of nature.	onal institution. Exclude positions tical entities and those solely of an	honorary	s,					
Organization (Name a	and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo.,Yr.)					
Examples Nat'l Assn. of Rock Collectors, NY, NY		Non-profit education	President	6/92	Present					
Doe Jones & Smith, Hometown, State		Law firm	Partner	7/85	1/00					
America's Camp Foundation Board of Advis	sors, New York, NY	Non-profit camp	Chairman	05/2003	Present					
Giuliani Group LLC, New York, NY (1) (25)	H	Holding Company	Chairman	01/2002	Present					
3										
4										
5			:1							
6	+0									
Report sources of more than \$5,000 conbusiness affiliation for services provided the reporting period. This includes the	Part II: Compensation in Excess of \$5,000 Paid by One Source Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other Do not complete this part if you are an incumbent, Termination Filer, or Vice Presidential or Presidential Candidate. Services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None									
Source (Name and	i Address)		Brief Description of Duties							
Examples Doe Jones & Smith, Hometown, State		Legal services								
Metro University (client of Doe Jones & Sm	nith), Moneytown, State	Legal services in connection with university co	onstruction							
	_ '		Ht.							
2					-					
3										
4					1					
5		2								
6										

Notes - Rudolph W. Giuliani Form SF 278

 Giuliani & Company LLC New York, NY

of \$2,453,633 during the reporting period. Included in the reported amount is \$600,000 in accrued guaranteed payments for 2007 and a distributive share of interest earned in the The filer is a thirty percent equity partner who received a guaranteed payment pursuant to his partnership agreement of \$994,169 and a net distributive share of partnership income partnership of \$62,044 for 2006, which amounts have not been paid yet.

Giuliani & Company LLC has ownership interests in the following companies, whose underlying values are not readily ascertainable:

Giuliani Group LLC

New York, NY

Giuliani Group is an intermediary holding company that performs no direct services.

Giuliani Partners LLC

New York, NY

Giuliani Partners is a management consulting firm that provides strategic advisory services to clients.

Giuliani Capital Advisors LLC

New York, NY

GCA is a broker-dealer that no longer provides services following a sale of assets to Macquarie Bank.

Giuliani Security & Safety LLC

New York, NY

GSS is a consulting company that provides security and safety consulting services

K.K. Giuliani Security & Safety Asia

Tokyo, Japan

and security company that provides consulting consulting services. 1S GSSA

K.K. Giuliani Compliance Japan

Tokyo, Japan

governance corporate company that provides consulting consulting services. is a

Lenore Partners LLC

New York, NY

Lenore Partners is a holding company for an investment in Skywatch LLC, a technology company.

BioONE Solutions, LLC

Albany, NY

BioOne is a technology services company providing decontamination services to clients.

BSMB/Camelbak LLC

New York, NY

BSMB/Camelbak is a holding company that owns a majority interest in Camelbak Group, LLC, which manufactures personal, portable hydration systems.

The Nucleus Group LLC

New York, NY

The Nucleus Group is a consulting company that provides strategic consulting services to clients.

Leeds Equity Associates IV LLC

New York, NY

LEAIV is the General Partner of Leeds Equity Partners Fund IV L.P. ("LEPFIV"), a private equity fund that makes investments in knowledge and education industry-related companies.

2) Bracewell and Giuliani LLP

New York, NY

law firm represents clients in legal matters and has a lobbying component in some offices half percent share has not yet been calculated or distributed for the reporting period. The percent share of the revenue of the New York office of the firm, which seven and one-The filer is an income partner who receives an annual salary and a seven and one-half but not in the New York office.

3) Washington Speakers Bureau

Alexandria, VA

ectures. Annex A contains a complete list of all appearances by the filer booked through the Washington Speakers Bureau, including the date of each speaking engagement, the identity of the entity contracting for each appearance and the gross and net revenue for each appearance. The filer is not currently accepting any paid speaking engagements. The Washington Speakers Bureau serves as an agent for the booking of speeches and